

Cedar Valley Medical Specialists, P.C. Notice of Privacy Practices

www.cedarvalleymedical.com

THIS NOTICE DESCRIBES HOW MEDICAL INFORMATION ABOUT YOU MAY BE USED AND DISCLOSED AND HOW YOU CAN GAIN ACCESS TO THIS INFORMATION. PLEASE REVIEW IT CAREFULLY.

The terms of this Notice apply to health information created or received by CVMS. CVMS is required by law to: make sure that medical information that identifies you is kept private; give you this notice of our legal duties and privacy practices with respect to medical information about you; follow the terms of the notice that is currently in effect; and notify you in the event there is a breach of any unsecured protected health information about you.

- 1. *CVMS is permitted to make uses and disclosures of pr*otected health information for treatment, payment and health care operations, as described in the following examples:
 - a. For treatment, such as sharing your medical information with another physician for consultation or referral.
 - b. For payment, such as giving your insurance company your diagnosis and operation performed when sending in an insurance claim.
 - c. For health care operations, such as allowing your medical record to be reviewed by CVMS personnel for quality control.
- 2. CVMS may use your medical information to contact you about appointment reminders or with information about your current medications or treatment alternatives or other health-related benefits and services that may be of interest to you. However, if we receive any financial remuneration for making such refill or medication communications beyond our cost of making the communication, we must first obtain your written authorization to make such communications. CVMS is not required to obtain your written authorization for face-to-face communications.
- 3. CVMS is permitted to make the following uses and disclosures of your medical information:
 - a. CVMS one of its business associates or CVMS's foundation may use certain information about you (name, address, age, gender, date of birth and other demographic information; dates you received health care from Entity; department of service information; treating physician; outcome information and health insurance status) to let you know about opportunities to raise funds for CVMS. You have the right to opt-out of receiving such fundraising communications. Each fundraising communication you receive will include an opportunity to opt-out of future fundraising communications. Alternatively, you may notify Privacy Officer to opt-out of fundraising communications.
 - b. CVMS may disclose medical information to those taking care of you, helping you to pay your bills, or other close family members of friends if these people need to know this information to help you, and then only to the extent permitted by law. If you are able to make your own health care decisions, CVMS will ask your permission before using your medical information for these purposes. If you are unable to make health care decisions, CVMS will disclose relevant medical information to family members or other responsible people if we feel it is in your best interest to do so, including in an emergency situation.
 - c. CVMS may use and disclose medical information about you for research purposes, either with your specific, written authorization or when the study has been reviewed for privacy protection by an Institutional Review Board or Privacy Board before the research begins. In some cases, researchers may be permitted to use information in a limited way to determine whether the study or the potential participants are appropriate.
 - d. CVMS will disclose medical information about you when we are required to do so by federal, state or local law.
 - e. CVMS may use and disclose medical information about you when necessary to prevent a serious threat to your health and safety or the health and safety of the public or another person. Any disclosure must be only to someone able to help prevent the threat.

With regard to HIV/AIDS related information, we may release to the Department of Public Health any relevant information provided by an HIV-positive person regarding any person with whom the HIV-positive person has had sexual relations or has shared drug injecting equipment. We may also reveal the identity of a person who has tested positive for HIV to the extent necessary to protect a third party from the direct threat of transmission. In the event the person who tests positive for HIV is a convicted or alleged sexual assault offender, we are required under Iowa law to disclose the test results to the convicted or alleged offender and to the victim counselor or other person designated by the victim, who shall disclose the results to the victim.

We may notify a care provider who may have been exposed to a disease or may be at risk for contracting or spreading a disease or condition (notification will not include the name of the individual tested for the contagious or infectious disease unless the individual consents).

We may report to the Iowa Department of Transportation information about patients with physical or mental impairments that would interfere with their ability to safely operate a motor vehicle.

- f. Some services are provided by or to CVMS through contracts with business associates. Examples include CVMS's, attorneys, consultants, collection agencies, and accreditation organizations. We may disclose information about you to our business associate so that they can perform the job we have contracted with them to do. To protect the information that is disclosed, each business associate is required to sign an agreement to appropriately safeguard the information and not to re-disclose the information unless specifically permitted by law.
- 4. CVMS may also disclose your medical information in the following special situations:
 - a. CVMS may release your medical information to organizations that handle organ procurement or organ, eye or tissue transplantation, or to an organ donation bank, as necessary to facilitate organ or tissue donation and transplantation. The information that CVMS may disclose is limited to the information necessary to make a transplant possible.
 - b. If you are a member of the armed forces, CVMS will release medical information about you as requested by military command authorities if we are required to do so by law, or when we have your written consent. We may also release medical information about foreign military personnel to the appropriate foreign military authority as required by law or with written consent.
 - c. CVMS may release medical information about you for workers' compensation or similar programs.
 - d. CVMS may disclose medical information to public health authorities about you for public health activities. These disclosures generally include the following:
 - Preventing or controlling disease, injury or disability;
 - Reporting births and deaths;
 - Reporting child abuse or neglect, or abuse of a vulnerable adult;
 - Reporting reactions to medications or problems with products;
 - Notifying people of recalls of products they may be using;
 - Notifying a person who may have been exposed to a disease or may be at risk for contracting or spreading a disease or condition; or
 - Reporting to the FDA as permitted or required by law.
 - e. CVMS may disclose medical information to a health oversight agency for health oversight activities that are authorized by law. These oversight activities include, for example, government audits, investigations, inspections, and licensure activities. These activities are necessary for the government to monitor the health care system, government programs, and compliance with civil rights laws.
 - f. CVMS may disclose medical information about you in response to a valid court order or administrative order. We also may disclose your medical information in response to certain types of subpoenas, discovery requests or other lawful process. We may disclose information in the context of civil litigation where you have put your condition at issue in the litigation.
 - g. CVMS may release medical information if asked to do so by a law enforcement official in response to a valid court order, grand jury subpoena, or warrant, or with your written consent. In addition, we are required to report certain types of wounds, such as gunshot wounds and some burns. In most cases, reports will include only the fact of injury, and any additional disclosures would require your consent or a court order.

We may also release information to law enforcement that is not a part of the health record (in other words, non-medical information) for the following reasons:

- To identify or locate a suspect, fugitive, material witness, or missing person;
- If you are the victim of a crime, if, under certain limited circumstances, we are unable to obtain your agreement;
- About a death we believe may be the result of criminal conduct;
- About criminal conduct at our facility; and
- In emergency circumstances to report a crime; the location of the crime or victims; or the identity, description or location of the person who committed the crime.
- h. We will release medical information to a coroner or medical examiner in the case of certain types of death, and we must disclose health records upon the request of the coroner or medical examiner. This may be necessary, for example, to identify you or determine the cause of death. We may also release the fact of death and certain demographic information about you to funeral directors as necessary to carry out their duties.
- CVMS will release medical information about you to authorized federal officials for intelligence, counter-intelligence, and other national security activities only as required by law or with your written consent.
- j. CVMS will disclose medical information about you to authorized federal officials so they may provide protection to the President, other authorized persons, or foreign heads of state, or conduct special investigations only as required by law or with your written consent.
- k. If you are an inmate of a correctional institution or under the custody of a law enforcement official, we will release medical information about you to the correctional institution or law enforcement official as permitted by law.
- 5. CMVS is required to obtain a written authorization from you for most uses and disclosures of psychotherapy notes, uses and disclosures of information for marketing purposes, and disclosures that constitute the sale of protected health information. Except as described in this Notice, CVMS will not use or disclose your protected health information without a specific written authorization from you. If you provide us with this written authorization, you may revoke that authorization, in writing, at any time. If you revoke your authorization, we will no longer user or disclose medical information about you for the reasons covered by your written authorization, except to the extent we have already relied on your authorization. We are unable to take back any disclosures we have already made with your permission, and we are required to retain our records of the care that we provided to you.
- 6. You have the following rights regarding protected health information:
 - a. The right to request restrictions on uses and disclosures of your protected health information. If you pay out-of-pocket in full for an item or service, then you may request that we not disclose information pertaining solely to such item or service to your health plan for purposes of payment or health care operations. We are required to agree to such a request, unless you request a restriction on the information we disclose to an HMO and the law prohibits us from accepting payment from you above the cost-sharing amount for the items or service that is the subject of the requested restriction. However, CVMS is not required to agree to any other requested restriction. If we do agree, we will comply with your request unless the information...
 - To request restrictions, you must make your request in writing to the Compliance Officer, and tell us (1) what information you want to limit; (2) whether you want to limit our use, disclosure, or both; and (3) to whom you want the limits to apply (for example, a spouse or a particular health care provider).
 - b. The right to request confidential communications of your protected health information. You may request that we communicate with you in a certain way or at a certain location (such as only at work or only by mail). To request confidential communications, make your request in writing to the Compliance Officer. We will not ask you the reason for your request, and we will accommodate all reasonable requests. Your request must specify how or where you wish to be contacted, and we may require you to provide information about how payment will be handled.
 - c. The right to inspect and receive a copy of your protected health information. If you wish to inspect and copy medical information, you must submit your request in writing.

If you request a copy of the information, we may charge a <u>reasonable</u> fee for the costs of copying, mailing, or other supplies associated with your request, to the extent permitted by state and federal law. If we maintain your health information electronically as part of a designated record set, you have the right to receive a copy of your health information in electronic format upon your request. You may also direct us to transmit your health information (whether in hard copy or electronic form) directly to an entity or person clearly and specifically designated by you in writing.

We may deny your request to inspect and copy your information in certain very limited circumstances. For example, we may deny access if your physician believes it will be harmful to your health, or could cause a threat to others. If you are denied access to medical information, you may request that the denial be reviewed. Another licensed healthcare professional chosen by CVMS will review your request and the denial. The person conducting the review will not be the person who denied your request. We will comply with the outcome of the review.

d. The right to request amendment of protected health information. If you believe that medical information we have about you is incorrect or incomplete, you have the right to ask us to change the information. You have the right to request an amendment for as long as the information is kept by or for CVMS. To request a change to your information, your request must be in writing and submitted to the Compliance Officer. You must provide a reason that supports your request.

CVMS may deny your request if it is not in writing or does not include a reason for the request. In addition, we may deny your request if you ask us to amend information that: was not created by CMVS (unless the person or entity that created the information is no longer available to make the amendment); is not part of the medical information kept by CVMS; is not part of the information which you would be permitted to inspect and copy; or is accurate and complete.

- e. The right to receive an accounting of disclosures of your protected health information, to the extent that such disclosures are required to be accounted for. To request this list of disclosures, you must submit our request in writing, and state the time period for which you would like the accounting. The period may not go back further than six years from the date of the request. You may receive one free accounting in any 12-month period. We will charge you for additional requests.
- f. The right to obtain a paper copy of the Notice upon request. This Notice is also on our website www.cedarvalleymedical.com
- 7. CVMS reserves the right to change the terms of this Notice. The new Notice provisions will be effective for all protected health information that it maintains. If the terms of this Notice are changed, CVMS will provide you with a revised Notice upon request, and we will post the revised Notice on our website and in designated locations at our facilities.
- 8. Individuals may complain to Cedar Valley Medical Specialists, P.C. and to the Secretary of the Department of Health and Human Services, without fear of retaliation by the organization, if they believe their privacy rights have been violated. A brief description of how the individual may file a complaint follows: Contact the CVMS Compliance Officer or Risk Manager:

Compliance Officer or Risk Manager 319-235-5390 4150 Kimball Ave., Waterloo, Iowa 50701

9. This Notice is first in effect on April 14, 2003, and updated August 22, 2013.